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TELEFAX TRANSMITTALTO: United States Patent OfficeFAX NO.: 1-703-872-9306FROM: Patricia PowerDATE: May 10, 2004PAGES: 10
(Including cover sheet)File No.: 13459-3Lawyer No.: 258

If transmission is interrupted or of poor quality, please notify us immediately by calling
Lisa Peers at (416) 364-7311, ext. 6538

COMMENTS:

Please see attached.

CONFIDENTIAL

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PTO/SB/97 (08-00)

Approved for use through 10/31/2002. OMB 0851-0031

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Certificate of Transmmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile
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on May 10, 2004.

Date



Signature

Patricia Power, Registration No. 51,379

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this
certificate must identify each submitted paper.

Letter to USPTO with respect to Notice to File Missing Parts of Nonprovisional
Application

Fee Transmittal Form

Transmittal Form

Declaration

Copy of Notice to File Missing Parts of Nonprovisional Application

Burden Hour Statement: This form is estimated to take 0.03 hours to complete. Time will vary depending upon the needs of the individual case. Any
comments on the amount of time required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office,
Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents,
Washington, DC 20231.

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MAY 10 2004

PTO/5B/17 (10-03)

Approved for use through 07/31/2006 OMB-0651-0032

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**FEE TRANSMITTAL
for FY 2004**

Effective 10/01/2003, Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$): 65.00

Complete if Known

Application Number: 107718517
 Filing Date: November 24, 2003
 First Named Inventor: Philip Seeman
 Examiner Name: N/A
 Art Unit: 3764
 Attorney Docket No.: 13459-3

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None
☒ Deposit Account:

Deposit
Account
Number
Deposit
Account
Name

022095

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The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below. ☒ Credit any overpayments.
☒ Charge any additional fee(s) or any underpayment of fee(s)
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION**1. BASIC FILING FEE**

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|-------------------------------|-------------------------------|------------------------|----------|
| 1001 770 | 2001 385 | Utility filing fee | |
| 1002 340 | 2002 170 | Design filing fee | |
| 1003 530 | 2003 265 | Plant filing fee | |
| 1004 770 | 2004 385 | Reissue filing fee | |
| 1005 160 | 2005 80 | Provisional filing fee | |
| SUBTOTAL (1) (\$) | | | 0.00 |

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims: 20 = 20 X Fee from below = 0.00
 Independent Claims: 3 = 3 X Fee from below = 0.00
 Multiple Dependent Claims: = 0.00

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|-------------------------------|-------------------------------|---|----------|
| 1202 18 | 2202 9 | Claims in excess of 20 | |
| 1201 86 | 2201 43 | Independent claims in excess of 3 | |
| 1203 290 | 2203 145 | Multiple dependent claims, if not paid | |
| 1204 86 | 2204 43 | Reissue independent claims over original patent | |
| 1205 18 | 2205 9 | Reissue claims in excess of 20 and over original patent | |
| SUBTOTAL (2) (\$) | | | 0.00 |

**or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------------|-------------------------------|--|----------|
| 1051 130 | 2051 65 | Surcharge - late filing fee or oath | 65.00 |
| 1052 50 | 2052 25 | Surcharge - late provisional filing fee or cover sheet | |
| 1053 130 | 2053 65 | Non-English specification | |
| 1812 2,520 | 1812 2,520 | For filing a request for ex parte reexamination | |
| 1804 920 | 1804 920 | Requesting publication of SIR prior to Examiner action | |
| 1805 1,840 | 1805 1,840 | Requesting publication of SIR after Examiner action | |
| 1251 110 | 2251 55 | Extension for reply within first month | |
| 1252 420 | 2252 210 | Extension for reply within second month | |
| 1253 850 | 2253 425 | Extension for reply within third month | |
| 1254 1,480 | 2254 740 | Extension for reply within fourth month | |
| 1255 2,010 | 2255 1,005 | Extension for reply within fifth month | |
| 1401 330 | 2401 165 | Notice of Appeal | |
| 1402 330 | 2402 165 | Filing a brief in support of an appeal | |
| 1403 290 | 2403 145 | Request for oral hearing | |
| 1451 1,510 | 2451 1,510 | Petition to institute a public use proceeding | |
| 1452 110 | 2452 55 | Petition to revive - unavoidable | |
| 1453 1,330 | 2453 665 | Petition to revive - unintentional | |
| 1501 1,330 | 2501 665 | Utility issue fee (or reissue) | |
| 1502 480 | 2502 240 | Design issue fee | |
| 1503 640 | 2503 320 | Plant issue fee | |
| 1460 130 | 2460 130 | Petitions to the Commissioner | |
| 1807 50 | 2807 50 | Processing fee under 37 CFR 1.17(q) | |
| 1808 180 | 2808 180 | Submission of Information Disclosure Stmt | |
| 8021 40 | 8021 40 | Recording each patent assignment per property (times number of properties) | |
| 1809 770 | 2809 385 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1810 770 | 2810 385 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 1801 770 | 2801 385 | Request for Continued Examination (RCE) | |
| 1802 900 | 2802 900 | Request for expedited examination of a design application | |
| Other fee (specify): | | | |
| Reduced by Basic Filing Fee Paid | | | |
| SUBTOTAL (3) (\$) | | | 65.00 |

SUBMITTED BY

Name (Print type): Patricia Power
 Signature: P. Power
 Registration No. (Attorney/Agent): 51,379
 Telephone: (416) 364-7311
 Date: May 10, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on this collection of information should be submitted to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20503.

BEST AVAILABLE COPY

PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box → ☒

Approved for use through 10/31/2002. OMB 0851-0031

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| | | | |
|--|----------------------|------------------------|---------|
| TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i> | Application Number | 10/718,517 | |
| | Filing Date | November 24, 2003 | |
| | First Named Inventor | Philip Seeman | |
| | Group Art Unit | 3784 | |
| | Examiner Name | N/A | |
| Total Number of Pages in This Submission | 8 | Attorney Docket Number | 13459-3 |

| ENCLOSURES (check all that apply) | | |
|---|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="text-align: center;">Declaration (37 CFR 1.63) for Utility or Design Application</div> |
| Remarks | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | |
|-------------------------|--|
| Firm or Individual name | Patricia Power, Reg. No. 51,379 BERESKIN & PARR |
| Signature | <i>P. Power</i> |
| Date | May 10, 2004 |

CERTIFICATE OF MAILING

| | | |
|---|--|------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: | | |
| Typed or printed name | | |
| Signature | | Date |

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MAY 10 2004

May 10, 2004

Patricia Power B.Sc., Ph.D. (Chem)
416 957 1683 ppower@bereskinparr.comYour Reference: 10/718,517
Our Reference: 13459-3**OFFICIAL**Commissioner for Patents
United States Patent & Trademark Office
Washington, D.C. 20231
U.S.A.**ATTENTION: BOX MISSING PARTS**

Dear Sir:

Re: U.S. Regular Patent Application No. 10/718,517
Filed: November 24, 2003
For: METHOD FOR TREATING ASPECTS OF ALCOHOLISM,
ADDICTION AND PSYCHOSIS
Inventors: Philip Seeman

This is in response to the Notification of Missing Requirements under 37 CFR 1.53(b) in the United States Patent and Trademark Office, dated March 8, 2004, a copy of which we attach.

*Please send all correspondence to the Toronto office:*Scotia Plaza, 40 King St. West, 40th Floor,
Toronto, Ontario, Canada M5H 3Y2
Tel: 416.364.7311 Fax: 416.361.13982000 Argentia Rd., Plaza 4, Ste. 430,
Mississauga, Ontario, Canada L5N 1W1
Tel: 905.812.3600 Fax: 905.814.0031Waterloo Technology Campus, 408 Albert St., Ste. 2,
Waterloo, Ontario, Canada N2L 3V3
Tel: 519.783.3210 Fax: 519.783.3211www.bereskinparr.com

REMARKS**Declaration**

In accordance with the provisions of 37 C.F.R. 1.63, Applicants hereby submit an executed Declaration for Patent Application of inventor **Phillip Seeman** along with a copy of the Notice to File Missing Parts of Non-provisional Application, mailed March 8, 2004.

Fees

The government fee of \$65.00 should be charged out of our Deposit Account No. 02-2095 in respect of the surcharge of \$65.00 (small entity).

If any additional fee is due, including a further fee for an extension of time, such an extension is hereby requested and the Commissioner is authorized to charge any such fee to Deposit Account No. 02-2095.

Respectfully submitted,

PHILIP SEEMAN



Patricia Power
Registration No. 51,379

Bereskin & Parr
Box 401, 40 King Street West
Toronto, Ontario
Canada M5H 3Y2

(416) 364-7311



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|------------------------|-----------------------|------------------------|
| 10/718,517 | 11/24/2003 | Philip Seeman | 13459-3 |

CONFIRMATION NO. 1979

FORMALITIES LETTER

OC000000012041822

001059
BERESKIN AND PARR
SCOTIA PLAZA
40 KING STREET WEST-SUITE 4000 BOX 401
TORONTO, ON M5H 3Y2
CANADA

Date Mailed: 03/08/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$65 for a Small Entity

- \$65 Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY